



# Fees

## Bank von Roll

Zurich, April 2024



# Commissions

## Administration Fee (account keeping)

Natural Persons	CHF 500.- to 1'500.- p.a.
Legal Entities	CHF 500.- to 5'000.- p.a.

## Safe Custody Fee (graduated tariff), also applies to metal accounts

Position value in CHF or equivalent	Fee in % p.a.
up to 1 Mio.	0.55
up to 3 Mio.	0.35
up to 5 Mio.	0.30
above 5 Mio.	0.25
Minimum in CHF	150.-

### Exception

Positions without valuation	CHF 400.- p.a.
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## Fiduciary Placements

Position value in CHF or equivalent	Fee in % p.a.
from 100'000.-	0.500
from 500'000.-	0.375
from 5 Mio.	0.250
from 10 Mio.	0.125

	Call Money (48 hours)	Time Deposits
Minimum Investment	CHF 200'000.-	CHF 200'000.-
Minimum Commission	CHF 125.-	CHF 200.-
Charges for increases/reductions (min. CHF 100'000.-)	CHF 75.-	

- Safe Custody and administration fees are being charged quarterly on 31.03., 30.06., 30.09. and 31.12.
- Unless explicitly stated otherwise, the graduated tariff will be applied.
- In the case of an account closure fees will be charged for the running quarter.
- Plus the currently applicable value added tax rate for clients resident in Switzerland and the Principality of Liechtenstein.

# Brokerage Fees

## Equities, Equity Funds and Similar Instruments

Amount in CHF or equivalent (graduated tariff)

	Switzerland %	Europe, USA, Canada, Japan %	Other Countries %
up to 50'000	1.10	1.40	1.90
up to 100'000	1.00	1.30	1.80
up to 150'000	0.90	1.20	1.70
up to 200'000	0.80	1.10	1.60
up to 250'000	0.70	1.00	1.40
up to 500'000	0.50	0.80	1.20
up to 1'000'000	0.35	0.60	1.00
from 1'000'000	0.20	0.40	0.80
Minimum CHF	120.–	150.–	150.–

additionally fees, value added tax, etc.

## Bonds, Bond Funds, Notes and Similar Papers

Amount in CHF or equivalent (graduated tariff)

up to 50'000	0.80	0.90	0.90
up to 100'000	0.70	0.80	0.80
up to 150'000	0.60	0.70	0.70
up to 200'000	0.50	0.60	0.60
up to 250'000	0.40	0.50	0.50
up to 500'000	0.35	0.40	0.40
up to 1'000'000	0.25	0.30	0.30
from 1'000'000	0.20	0.20	0.20
Minimum CHF	120.–	150.–	150.–

additionally fees, value added tax, etc.

## Precious Metals

Amount in CHF or equivalent (graduated tariff)

up to 50'000	1.10
up to 100'000	1.00
up to 150'000	0.90
up to 200'000	0.80
up to 250'000	0.70
up to 500'000	0.50
up to 1'000'000	0.35
from 1'000'000	0.20
Minimum CHF	120.–

additionally fees, value added tax, etc.

## Money Market Funds

Money market funds are settled at a fixed rate of 0.25 %.

## Hedge Funds, Private Equity Funds and Private Label Funds

Basic tariff Equity Funds plus 0.5%

## Options

According to separate tariff.

- Please refer to the separate schedule as an integral part of this fee schedule with respect to the maximum mark-ups for foreign exchange and precious metals spot, forward and swap transactions.
- Unless explicitly stated otherwise, the graduated tariff will be applied.
- Plus the currently applicable value added tax rate for clients resident in Switzerland and the Principality of Liechtenstein.

# Portfolio Management

## Management Fee (graduated tariff)

Portfolio value in CHF or equivalent	Fee in % p.a.
up to 1 Mio.	0.75
up to 3 Mio.	0.60
up to 5 Mio.	0.50
from 5 Mio.	on enquiry

## Flat Fee (graduated tariff)

Portfolio value in CHF or equivalent	Fee in % p.a.
up to 1 Mio.	1.55
up to 3 Mio.	1.30
up to 5 Mio.	1.05
from 5 Mio.	on enquiry

## Advisory Fee

	Fee in % p.a.
	0.50; min. CHF 1'000.-

## Performance Fee

	Fee in % p.a.
	on enquiry

- The management fee, flat fee and advisory fee are being charged quarterly on 31.03., 30.06., 30.09. and 31.12.
- The performance fee is charged annually.
- Unless explicitly stated otherwise, the graduated tariff will be applied.
- In the case of an account closure fees will be charged for the running quarter.
- Plus the currently applicable value added tax rate for clients resident in Switzerland and the Principality of Liechtenstein.

# Other Fees

## Payments

Payment orders	CHF 25.– per item
Payment orders in USD	USD 75.– per item
Bank check issuance CHF/€	CHF 250.–

## Check Collection

Foreign country credit	CHF 100.–
Inland credit	CHF 60.–
Blockage	CHF 200.–

additionally fees

## Cash Withdrawals

Cash withdrawals other than CHF debiting foreign currency accounts	fix Agio 0.5 %
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## Other Services

Securities transfer without payment	CHF 250.–
Physical deliveries of Securities & Precious metals (plus additional third party transport fees)	min. CHF 500.– or 0.1 % of the equivalent value
Rent of safe deposit boxes	CHF 150.– to 1'200 (according to box size)
Tax declaration for Switzerland	CHF 150.–
Tax declaration for foreign country	at cost
Confirmation of business relationship	CHF 250.–
Guarantee commission	CHF 250.–
Additional services	min. CHF 150.– (at cost)
Owner Documented FFI (ODFFI)	CHF 750.– p.a.

## Account Closing

Natural Persons	min. CHF 500.– (at cost)
Legal Entities	min. CHF 1'000.– (at cost)

- Rent of safe deposit boxes is being charged annually, all additional services are being charged on a T&M basis.
- Plus the currently applicable value added tax rate for clients resident in Switzerland and the Principality of Liechtenstein.

# Foreign Exchange (FX) and Precious Metal (PM) Spot, Forward & Swaps

With respect to FX and PM spot, forward and swap transactions, BvR may earn a profit in the form of a mark-up applied to the rates ("Market Side Rate") obtained from its market side counterparty. Such mark-up will be applied irrespective of whether the FX spot, forward and swap transactions are directly instructed by the client or are induced by another service or transaction that require a currency conversion ("FX induced").

FX induced transactions may result e.g. from a purchase of foreign securities, payments and withdrawals in foreign currency (including by credit/debit cards), investments as part of a discretionary mandate, etc. Such mark-ups are one-off and may be applied in addition to all-in management fees or payment transaction fees (e.g. credit cards).

Below overview shows the maximum mark-ups applied by BvR:

## FX/PM Spot and FX/PM Forward Mark-up

Currencies and Precious metals	Transaction volume CHF or equivalent		
	up to 500'000	from 500'000 up to 1 Mio.	from 1 Mio.
Major Currencies <sup>1</sup>	1.5 %	0.75 %	0.5 %
Minor Currencies <sup>2</sup>	2.2 %	1.0 %	0.6 %
Precious Metals <sup>3</sup>	1.5 %	0.75 %	0.5 %

### Notes

- For an FX/PM transaction the currency with the larger mark-up is defining the mark-up.
- For clients with a bespoke mark-up agreement, the client specific mark-up is applied.
- Rounding differences may apply which can be up to 1 basis point (+/- 0.0001) on client spot resp. forward rate.
- On Precious Metal, additional charges for physical production, delivery and availability in location (loco fee) might apply.

<sup>1</sup> Major Currencies are: CHF, EUR, SEK, USD

<sup>2</sup> Minor Currencies are: AUD, CAD, CZK, DKK, GBP, HKD, ILS, JPY, MXN, NOK, NZD, RUB, TRY, ZAR

<sup>3</sup> Precious Metals are: XAU (Gold), XAG (Silver), XPT (Platin), XPD (Palladium)

# Terms and Conditions for Exchange Traded Derivatives

Valid as of: January 2023

<b>EUREX</b>	<b>Commission %</b>	<b>Commission per Lot</b>	<b>Minimum</b>
Options in CHF	1.20**		CHF 120.-
Options in EUR	1.20**		EUR 100.-
Assignment/Exercise CHF	0.30**		CHF 120.-
Assignment/Exercise EUR	0.30**		EUR 100.-

<b>Europe EURONEXT, Belgium, France, Netherlands</b>	<b>Commission %</b>	<b>Commission per Lot</b>	<b>Minimum</b>
Options		EUR 11.-	EUR 100.-
Assignment/Exercise	0.30		EUR 100.-
<b>LIFFE, UK</b>			
Options		GBP 8.-	GBP 100.-
Assignment/Exercise	0.30		GBP 100.-
<b>NASDAQ OMX, Scandinavia*</b>			
Options (DKK, EUR, NOK, SEK)	5.00		DKK 1000.- NOK, SEK 1000.-
Assignment/Exercise	0.30		DKK 1000.- NOK, SEK 1000.-
<b>Italy, IDEM</b>			
Options		EUR 20.-	EUR 100.-
Assignment/Exercise	0.30		EUR 100.-
<b>Spain, MEFF</b>			
Options		EUR 8.-	EUR 100.-
Assignment/Exercise	0.30		EUR 100.-

## Terms and Conditions for Exchange Traded Derivatives

(continued)

<b>North America United States</b>	<b>Commission %</b>	<b>Commission per Lot</b>	<b>Minimum</b>
Options		USD 6.–	USD 100.–
Commodities Options		USD 23.–	USD 100.–
Assignment/Exercise	0.30		USD 100.–
<b>Canada, MFE</b>			
Options		CAD 15.–	CAD 100.–
Assignment/Exercise	0.30		CAD 100.–

### General Remarks and Conditions:

- Additional markets and products can be provided upon request.
- Bank von Roll reserves the right to pass on third party fees if and as they occur (eg tax, regulatory fees).
- These Terms & Conditions are based on the estimated volumes as indicated by the client.
- If the actual trading volumes differ more than 30% from the estimates given over more than three months, Terms & Conditions may be reviewed (first review six month after start of trading).

\* A waiver has to be signed before execution is possible

\*\* Plus exchange fees

- These Terms & Conditions cancel and supersede all previous Terms & Conditions. The Bank's fees are based on the prevailing rates. Bank von Roll reserves the right to amend these at any time. The client will be informed of such amendments in advance in writing or by other appropriate means. The Bank's General Conditions form an integral part of these Terms & Conditions.